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By: [Signature] Name: Maryann R. Johnson

Attorney Docket No.: 30055.800US01

jc963 U.S. PTO



01/22/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: 1/22/2002

APPLICATION - FEE

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the U. S. patent application of:

Inventor(s): Dominic Greco

For: A METHOD, APPARATUS AND SYSTEM FOR CONTROL AND ASSESSMENT OF RISK IN COMMERCIAL TRANSACTIONS

1000 U.S. PTO  
10/056241  
01/22/02

are transmitting herewith the attached:

- ☒ Transmittal sheet containing Certificate under 37 CFR 1.10.
- ☒ Patent Application: Spec. 40 pgs; including 9 pgs. of claims; Abstract 1 pg.
- ☒ The fee has been calculated as shown below in the "Calculation of Fees" table.
- ☐ Design Patent Application: Spec.      pgs.
- ☒ 16 Sheets of Formal Drawings (16 Figures).
- ☐ Certified copy of a      application, Serial No.     , filed     , the right of priority of which is claimed under 35 U.S.C. 119.      Will follow.
- ☐ Application claims the right of priority of provisional application,      under 35 U.S.C. 120.
- ☒ Small entity status is claimed under 37 CFR 1.9 and 1.27.
- ☒ A signed Combined Declaration and Power of Attorney.
- ☐ An unsigned Combined Declaration and Power of Attorney.
- ☐ An associate Power of Attorney.
- ☒ Assignment of the invention to Big Finance and Insurance Services, Inc., Recordation Form Cover Sheet. (2 sheets)
- ☒ A check (#1230) in the amount of \$731.00 to cover the Filing Fee (\$691.00) and Assignment Recordation fee (\$40.00)
- ☐ **PAYMENT OF FEES IS BEING DEFERRED.**
- ☒ The fee \$40.00 to cover the Assignment Recordation (included in check # 1230).
- ☐ Computer readable form of     . Applicants state that the paper copy form of the      section of the present application, and the computer readable form submitted herewith, are the same.
- ☒ Information Disclosure Statement with form PTO-1449.
- ☒ Return postcard

CALCULATION OF FEES							
ITEM		NUMBER OF CLAIMS FILED MINUS BASE*		NUMBER OF CLAIMS OVER BASE x RATE	\$ AMOUNT	\$ FEE	
A	TOTAL CLAIMS FEE	51	- 20* =	31	x \$9.00	\$ 279.00	
B	INDEPENDENT CLAIMS FEE**	4	- 3* =	1	x \$42.00	\$ 42.00	
C	SUBTOTAL					SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 x (A + B)	\$ 321.00
D	BASIC FEE*					SMALL ENTITY FEE = \$370.00 LARGE ENTITY FEE = \$740.00	\$ 370.00
E	MULTIPLE-DEPENDENT CLAIMS FEE					SMALL ENTITY FEE = \$140.00 LARGE ENTITY FEE = \$280.00	\$-0-
F	ASSIGNMENT RECORDING FEE					\$ 40.00	\$ 40.00
G	TOTAL FEE (ADD LINES C, D, E AND F)						\$ 731.00
	**LIST INDEPENDENT CLAIMS (1,5)						

- ☐ Please charge my Deposit Account xxxxxxxx the amount of \$ \_\_\_\_\_. A copy of this letter is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. xxxxxxxx. A duplicate copy of this sheet is enclosed.
  - ☐ Any additional filing fees required under 37 CFR 1.16.
  - ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. xx-xxxx. A copy of this letter is enclosed.
  - ☐ Any patent application processing fees under 37 CFR 1.17.
  - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date: 1/22/02

Respectfully submitted,

Anna M. Vradenburgh  
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